Country:

US

Application No.:

TBA

Filing Date:

August 1, 2003

Inventor:

Ronald D. Rudkin, Ph.D.

Title:

SYSTEM AND METHOD FOR DETERMINING THE COST, VALUE AND EXERCISE OF EMPLOYEE STOCK OPTIONS

Atty Docket No.:

004344-294-CIP



## **CERTIFICATE OF "EXPRESS MAILING"**

"EXPRESS MAIL" Mailing Label Number: <u>EL 889409731 US</u>

Deposited: August 1, 2003

I hereby certify that this and the enclosed paper(s) and/or fee(s) is/are being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Submitted herewith are the following items:

- 1. Utility Patent Application Transmittal in duplicate (6 pages);
- 2. Continuation-In-Part Patent Application of Rubin D. Rudkin, Ph. D. (51 pages);
- 3. This Certificate of Express Mailing bearing Express bearing the Express Mailing Label No. and deposit date stated above (1 page); and
- 4. Return Receipt Postcard.

TOTAL PAGES IN THIS SUBMISSION: 58 + postcard

Francene Sawyer

## Application Transmittal PATENT

UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Do First Named Express Mar Total Pages	Inventor	004344-294-CIP  Ronald D. Rudkin, Ph.D.  EL 889409731 US  3								
BY I	BY EXPRESS MAIL - Label No. EL 889409731 US											
Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450					v.							
Sir:												
Enclosed herewith for filing is a patent application of Ronald D. Rudkin, Ph.D. entitled "SYSTEM AND METHOD FOR DETERMINING THE COST,. VALUE AND EXERCISE OF EMPLOYEE STOCK OPTIONS" which includes:												
[ <b>X</b> ]	Specification	[37]	Total Pages	•								
[X]	Claims	[10]	Total Pages		,							
[X]	Abstract	[1]	Total Pages									
[X]	Drawing(s) formal informal	[3]	Total Sheets									
[] Combined Declaration and Power of Attorney Total Pages [] Newly executed (original or copy) [] Copy from a prior application (for continuation/divisional only – must be filed to avoid surcharge for late filing)												
Ifac	continuing application, check application [] Division [] Division [X] Continuation-In-Part of prior	ional	o. 10/601,122 t	filed June 20, 2003.								
"Thi	mend the specification by inserting is a [] continuation [] ication Serial No f	divisional		following sentence ntinuation-in-part of ."								
[] An Assignment of the invention to [] is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.11 is included. [] will follow. [] has been filed in the prior application												
[X]	Small Entity Status is hereby clai	med.										

Attorney Docket No. 004344-294-CIP

[]	Information Disclosure Statement (IDS) PTO-1449  [] Copies of IDS Citations
[]	Request and Certification for Non-publication under 35 U.S.C. 122(b)(2)(B)(i) ENCLOSED.
[]	Request to Rescind previous Non-publication Request under 35 U.S.C. 122(b)(2)(B)(ii) ENCLOSED.
[]	Request for Redacted Publication under 35 U.S.C. 122(b)(2)(B)(v) and the Redacted Publication ENCLOSED.
[]	Request for Early Publication under 35 U.S.C. 122(b)(1)(A) ENCLOSED.
[]	Preliminary Amendment
[X	X] Return Receipt Postcard

[] Cancel in this application original claims of the prior application before calculating the filing fee.

The Fee has been calculated as shown below:

[X] Other: Certificate of Express Mailing.

	(Col. 1) No. Filed				Small E			Enti	ty		Other than a small entity		
FOR				(Col. 2) No. Extra		Data		Fee	OR	D.4.			
	IVO. FHEU		Rate				ree		Rate		Fee		
Basic Fee	<u> </u>		***************************************				L		375.00		1	_I	750.00
Total Claims	38	-	20		180	X	9	=	162.00	X	18	-	.00
Ind. Claims	2	-	3	=	0	X	42	1	.00	X	84	=	.00
Multiple Dependent Claim +				140	=	.00.	+	280	=	.00			
TOTAL						7,11,41,7		\$537.00	<u> </u>			0.00	

<sup>\*</sup> If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Attorney Docket No. 004344-294-CIP

## Fee payment being made:

[] E	Inclosed [X] Charge to De	posit Account No. 06-0923			
	[X] Filing Fee \$537	537.00			
	[] Recording Assignment: \$ 37 CFR 1.21(h)	.00			
	[] Publication Fees \$	0.00			
		Total Fees: \$537.00			
[]	A check in the amount of \$0.00 to cover filing fee and assignment	nt recordation fee is enclosed.			

## Priority

[]	Priority of applicati	on Country	, Appln. No.	, filed					
[]	Certified Copy of P	riority Document	Country	, Appln. No.	, filed				
	[] is/are attached	[] will follow	[] has been	n filed in the parent	application S	S/N			
[X]	The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17 and 1.21(h) associated with this communication or c any overpayment to Deposit Account No. 06-0923. Two copies of this sheet are enclosed								

Dated: August 1, 2003

**GOODWIN PROCTER LLP** 

599 Lexington Avenue New York, NY 10022

3Y: *\_Z*/

PTO Registration No.: 36,1

Enclosures